

# On Factorization of Analytic Functions and its Verification

Tetsuya Sakurai<sup>†</sup> Hiroshi Sugiura<sup>‡</sup>

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<sup>†</sup>Institute of Information Sciences and Electronics  
University of Tsukuba  
Tsukuba, Ibaraki 305-8573, Japan

<sup>‡</sup>Faculty of Engineering  
Nagoya University  
Furocho, Chikusaku, Nagoya 464-5501, Japan

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# On Factorization of Analytic Functions and its Verification

Tetsuya Sakurai<sup>†</sup>   Hiroshi Sugiura<sup>‡</sup>

<sup>†</sup>Institute of Information Sciences and Electronics, University of Tsukuba

<sup>‡</sup>Faculty of Engineering, Nagoya University

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**Abstract.** An interval method for finding a polynomial factor of an analytic function  $f(z)$  is proposed. By using a Samelson-like method recursively, we obtain a sequence of polynomials that converges to a factor  $p^*(z)$  of  $f(z)$  if an initial approximate factor  $p(z)$  is sufficiently close to  $p^*(z)$ . This method includes some well known iterative formulae, and has a close relation to a rational approximation. According to this factoring method, a fixed point relation for  $p^*(z)$  is derived. Based on this relation, we obtain a polynomial with complex interval coefficients that includes  $p^*(z)$ .

**Key words:** Factoring method, zeros of analytic function, interval method.

## 1 Introduction

The purpose of this paper is to present a method for finding a set of polynomials which includes a factor of an analytic function  $f(z)$  defined for  $|z| < R$ , where  $R > 0$ .

For the determination of multiple or close zeros of  $f(z)$ , iterative methods usually require large number of iterations, or fail by a jump of an approximation. Factoring methods can find such zeros as a polynomial. The computation of coefficients of a polynomial of which zeros are close is more stable than the determination of locations of close zeros.

Bauer and Samelson [2] have proposed a method to find a zero of a polynomial  $f(z)$  by considering Newton's method for  $f(z)/q(z)$  at an approximation  $z_0$ , where  $q(z)$  is a polynomial of degree less than  $\deg f$ . Jenkins and Traub [10] improved the order of convergence by modifying  $q(z)$  in each iteration step. These methods can be regarded as a combination of two iterations for approximations  $p(z) = z - z_0$  and  $q(z)$ . Stewart [17] generalized these methods for the case that the degree of  $p(z)$  is arbitrary. When  $p(z)$  is quadratic, it contains Bairstow's method [1]. In [18], the relation of this method with qd-algorithm and König's theorem is also considered. A factorization of an analytic function by reducing a problem to a solution of infinite block Toeplitz matrix is proposed in [3].

Grau's method [7] improves approximate factors  $p_1(z), \dots, p_N(z)$  for a polynomial simultaneously. When all the approximate factors are linear, this method is just the Durand-Kerner method [6]. When  $N = 2$ , Grau's method is equivalent to the method in [17]. This method can be extended to a simultaneous factoring method of arbitrary order of convergence by using a rational Hermite interpolation ([4]).

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For the estimation of initial approximations, global methods to find zeros or poles in a given domain ([5, 12, 19]) are used. When there exist dense clusters of zeros in the domain, the problem to find all the zeros in the domain is numerically extremely ill-conditioned. The location and multiplicity of a cluster of zeros in a certain domain is a stable phenomenon. Clustering methods ([9, 11, 15]) that find centers of clusters provide appropriate initial approximations for factoring methods.

In Section 2, we show a method to generate a sequence of polynomials that converges to a factor of an analytic function  $f(z)$ . In Section 3, we derive a fixed point relation for a factor. Based on this relation, a method for finding a set of polynomials that includes a factor of  $f(z)$  is considered. In Section 4, an algorithm with circular arithmetic is proposed. Some examples illustrate numerical features of the presented method in Section 5.

## 2 A factoring method

First, we consider the case that  $f(z)$  is a polynomial of degree  $m + n$ . Suppose that  $f(z) = p^*(z)q^*(z)$ , where  $p^*(z)$  is a monic polynomial of degree  $m$ , and  $q^*(z)$  is a polynomial of degree  $n$  having no zeros in common with  $p^*(z)$ . Let  $p(z)$  and  $q(z)$  be approximations for  $p^*(z)$  and  $q^*(z)$ , respectively. Samelson's method ([17]) defines an improved approximation  $p(z) + s(z)$  for  $p^*(z)$  by calculating polynomials  $s(z)$  and  $t(z)$  satisfying

$$sq + tp = r, \quad \deg s < m, \quad \deg t < n, \quad (1)$$

where  $r(z) = f(z) - p(z)q(z)$ . The polynomials  $s$  and  $t$  are uniquely determined if  $p$  and  $q$  are mutually prime. (1) is translated into a linear equation for the coefficients of  $s$  and  $t$ . These coefficients are also calculated via the extended Euclidean algorithm for  $p$  and  $q$  ([16, 20]).

Let  $g$  be a function defined on zeros of a polynomial  $p$ . Let  $v$  be a polynomial of degree at most  $\deg p - 1$  such that  $g - v$  is divisible by  $p$ . Then we denote  $v$  by  $v = \text{mod}(g, p)$ . If  $g$  is a polynomial then  $\text{mod}(g, p)$  is just a polynomial remainder of  $g$  divided by  $p$ . From (1) we have

$$\left(\frac{r}{q}\right) - s = p \left(\frac{t}{q}\right), \quad \deg s < \deg p.$$

Therefore  $s = \text{mod}(r/q, p)$ .

The following lemma shown in [16, 20] is essential for the factoring method described below. The similar result is also given in [18]. The symbol  $\|\cdot\|$  for a polynomial denotes the vector 1-norm for a vector of coefficients of the polynomial.

**Lemma 2.1** *Let  $p$  and  $q$  be mutually prime polynomials of degree  $m$  and  $n$ , respectively. Let  $r$  be a polynomial of degree at most  $m + n$ . If  $\|p\| = O(1)$ ,  $\|q\| = O(1)$ , and  $\|r\| = O(\varepsilon)$  with sufficiently small  $\varepsilon > 0$ , then  $\|s\| = O(\varepsilon)$  and  $\|t\| = O(\varepsilon)$ .*

By applying (1) recursively, we obtain polynomial sequences  $\{s^{(k)}\}$  and  $\{t^{(k)}\}$  as follows.

$$s^{(k)}(q + t^{(k-1)}) + t^{(k)}p = r, \quad k = 1, 2, \dots, \quad (2)$$

where  $t^{(0)} \equiv 0$ . The polynomials  $s^{(k)}$  and  $t^{(k)}$  have the following property.

**Lemma 2.2** Let  $s^{(k)}$  and  $t^{(k)}$  be defined by (2). Under the same assumption with Lemma 2.1, we have

$$\|s^{(k)} - s^{(k-1)}\| = O(\varepsilon^k) \text{ and } \|t^{(k)} - t^{(k-1)}\| = O(\varepsilon^k), \quad (3)$$

for  $k = 1, 2, \dots$ , where  $s^{(0)} \equiv 0$  and  $t^{(0)} \equiv 0$ .

Proof. In case of  $k = 1$ , (3) is obvious by Lemma 2.1. Assume that (3) is valid up to  $k - 1$ . Then  $\|s^{(k-1)} - s^{(k-2)}\| = O(\varepsilon^{k-1})$  and  $\|t^{(k-1)} - t^{(k-2)}\| = O(\varepsilon^{k-1})$ . It follows from (2) that

$$(s^{(k)} - s^{(k-1)})q + (t^{(k)} - t^{(k-1)})p = s^{(k)}(t^{(k-1)} - t^{(k-2)}). \quad (4)$$

Since  $\|r\| = O(\varepsilon)$ , by Lemma 2.1 we have  $\|s^{(k)}\| = O(\varepsilon)$ . Hence  $\|s^{(k)}(t^{(k-1)} - t^{(k-2)})\| = O(\varepsilon^k)$ . Therefore from (4) we obtain (3).

□

Next theorem implies that the procedure (2) defines a factoring method.

**Theorem 2.3** If  $\|r\| = O(\varepsilon)$ , then for  $s^{(k)}$  defined by (2),

$$\|p + s^{(k)} - p^*\| = O(\varepsilon^{k+1}). \quad (5)$$

Proof. From (2) and  $f = p^*q^*$ , we have

$$(p + s^{(k)} - p^*)(q + t^{(k)}) + (q + t^{(k)} - q^*)p^* = s^{(k)}(t^{(k)} - t^{(k-1)}). \quad (6)$$

By Lemma 2.2 we have

$$\|s^{(k)}(t^{(k)} - t^{(k-1)})\| = O(\varepsilon^{k+1}).$$

Since  $\|t^{(k)}\| = O(\varepsilon)$ , we can regard that  $q + t^{(k)}$  and  $p^*$  are mutually prime with sufficiently small  $\varepsilon$ . Hence by (6) we have (5). □

If  $q$  and  $r$  are chosen so that  $f = qp + r$ ,  $\deg r < \deg p$ , and if  $\|p - p^*\| = O(\varepsilon)$ , then  $\|r\| = O(\varepsilon)$ . Therefore the polynomial sequence  $p + s^{(k)}$  converges to  $p^*$ , provided the starting factor  $p$  is sufficiently near  $p^*$ . When  $k = 0$  and  $m = 2$  this method is just Bairstow's method. Hereafter we denote  $p^{(k)} := p + s^{(k)}$  and  $q^{(k)} := q + t^{(k)}$ .

Now let us consider the case that  $f$  is given by a power series  $f(z) = \sum_{k=0}^{\infty} c_k z^k$ . Let  $R$  be a fixed positive number. Let  $f$  be analytic for  $|z| < R$  with zeros  $\zeta_i$ ,  $i = 1, 2, \dots$  ordered so that  $|\zeta_1| \leq \dots \leq |\zeta_m| < |\zeta_{m+1}| \leq \dots$ , and let  $\|f\| = O(1)$ . Define  $p^* = \prod_{i=1}^m (z - \zeta_i)$ , and let  $q^*$  be an analytic function such that  $f = p^*q^*$ .

Suppose that  $\zeta_1, \dots, \zeta_m$  form a cluster covered by a small disk with the radius  $\delta < R$  around the origin. Then  $p = z^m$  can be regarded as a good initial approximation for  $p^*$ . In this case, we can calculate  $s^{(k)}$  and  $t^{(k)}$  that satisfy (2) easily by setting

$$r(z) = \sum_{k=0}^{m-1} c_k z^k \quad \text{and} \quad q(z) = \sum_{k=m}^{n+m} c_k z^{k-m}. \quad (7)$$

Moreover let

$$h(z) = \sum_{k=m+n+1}^{\infty} c_k z^{k-m-n-1} \quad (8)$$

then

$$f = p^*q^* = r + pq + z^{m+n+1}h. \quad (9)$$

Define

$$s^{(k)}(z) = \sigma_0^{(k)} + \sigma_1^{(k)}z + \cdots + \sigma_{m-1}^{(k)}z^{m-1},$$

and

$$t^{(k)}(z) = \tau_0^{(k)} + \tau_1^{(k)}z + \cdots + \tau_{n-1}^{(k)}z^{n-1}.$$

By comparing the coefficients in (2) we have the following relations.

$$\begin{pmatrix} c_m^{(k-1)} & & & & \\ c_{m+1}^{(k-1)} & c_m^{(k-1)} & & & \\ \vdots & & \ddots & & \\ c_{2m-1}^{(k-1)} & \cdots & \cdots & c_m^{(k-1)} & \end{pmatrix} \begin{pmatrix} \sigma_0^{(k)} \\ \sigma_1^{(k)} \\ \vdots \\ \sigma_{m-1}^{(k)} \end{pmatrix} = \begin{pmatrix} c_0 \\ c_1 \\ \vdots \\ c_{m-1} \end{pmatrix} \quad (10)$$

and

$$\begin{pmatrix} \tau_0^{(k)} \\ \tau_1^{(k)} \\ \vdots \\ \tau_{n-1}^{(k)} \end{pmatrix} = - \begin{pmatrix} c_{2m}^{(k-1)} & c_{2m-1}^{(k-1)} & \cdots & c_{m+1}^{(k-1)} \\ c_{2m+1}^{(k-1)} & c_{2m}^{(k-1)} & \cdots & c_{m+2}^{(k-1)} \\ \vdots & \vdots & \ddots & \vdots \\ c_{2m+n-1}^{(k-1)} & c_{2m+n-2}^{(k-1)} & \cdots & c_{m+n}^{(k-1)} \end{pmatrix} \begin{pmatrix} \sigma_0^{(k)} \\ \sigma_1^{(k)} \\ \vdots \\ \sigma_{m-1}^{(k)} \end{pmatrix}, \quad (11)$$

where  $c_{m+j}^{(k-1)} = c_{m+j} + \tau_j^{(k-1)}$ ,  $j \geq 0$ .

Let  $1/f = \sum_{k=0}^{\infty} d_k z^k$ . In case of  $m = 1$ , we can verify that  $\sigma_0^{(k)} = -d_{k-1}/d_k$  from (10) and (11). Therefore  $p^{(k)} = z + \sigma_0^{(k)}$  is just the numerator of the  $[1/k - 1]$ -Pade approximant for  $f$  at  $z = 0$ .

When  $f$  is not a polynomial, we should take account of influence of  $z^{m+n+1}h$  to discuss the convergence order of the method.

**Theorem 2.4** *Let  $p = z^m$ , and let  $r$ ,  $q$  and  $h$  be defined by (7) and (8). Let  $n = mK - 1$ . If  $\|\Delta p\| := \|p - p^*\| = O(\varepsilon)$ , then*

$$\|p^{(k)} - p^*\| = O(\varepsilon^{\hat{k}+1})$$

where  $\hat{k} = \min(k, K)$ .

Proof. Since

$$f = p^*q^* = (p - \Delta p)q^* = z^m q^* - \Delta p q^*$$

and  $\|q^*\| = O(1)$ , it follows that

$$\|r\| = O(\|\Delta p\|) = O(\varepsilon).$$

From (2) and (9) we have

$$(p^{(k)} - p^*)q^{(k)} + (q^{(k)} - q^*)p^* = s^{(k)}(t^{(k)} - t^{(k-1)}) - z^{m+n+1}h. \quad (12)$$

Since  $h$  is analytic for  $|z| < R$ , and all the zeros of  $p^*$  lie in the disk with the radius  $\delta < R$ ,  $w = \text{mod}(z^{m+n+1}h, p^*)$  is well defined. Let  $u = (z^{m+n+1}h - w)/p^*$ , then

$$z^{m+n+1}h = w + p^*u.$$

Substituting it for (12) derives

$$(p^{(k)} - p^*)q^{(k)} + (q^{(k)} - q^* + u)p^* = s^{(k)}(t^{(k)} - t^{(k-1)}) - w. \quad (13)$$

Since  $\text{mod}(z^{m+n+1}, p^*) = \text{mod}((\Delta p)^{K+1}, p^*)$ ,

$$\|w\| = \|\text{mod}(z^{m+n+1}h, p^*)\| = O(\varepsilon^{K+1}).$$

Moreover, by Lemma 2.2 we have

$$\|s^{(k)}(t^{(k)} - t^{(k-1)})\| = O(\varepsilon^{k+1}).$$

These relations conclude the theorem.  $\square$

Therefore the polynomial  $p^{(k)}$  approaches  $p^*$ , provided the starting factor  $p$  is sufficiently near  $p^*$ , and the degree of  $q$  is sufficiently large.

### 3 Validation for an approximate factor

In this section we show a method to give a validation for coefficients of a factor obtained by the method given in the previous section.

From (13) we have a fixed point relation for  $p^*$ .

**Theorem 3.1** *Let  $w = \text{mod}(z^{m+n+1}h, p^*)$ . If  $q^{(k)}$  has no zeros in common with  $p^*$ , then*

$$p^* = p^{(k)} - \text{mod}\left(\frac{s^{(k)}(t^{(k)} - t^{(k-1)}) - w}{q^{(k)}}, p^*\right). \quad (14)$$

Let  $\mathbf{p}$  be a set of polynomials so that  $p^* \in \mathbf{p}$ , and let  $\mathbf{w}$  be a set of polynomials so that  $w \in \mathbf{w}$ .

**Theorem 3.2** *Let  $\|r\| = O(\varepsilon)$  with sufficiently small  $\varepsilon > 0$ . If  $q$  has no zeros in common with any polynomial  $\tilde{p} \in \mathbf{p}$ , then*

$$p^* \in p^{(k)} - \text{mod}\left(\frac{s^{(k)}(t^{(k)} - t^{(k-1)}) - \mathbf{w}}{q^{(k)}}, \mathbf{p}\right). \quad (15)$$

Proof. Since  $\|t^{(k)}\| = O(\varepsilon)$ , and  $q$  has no zeros in common with any polynomial  $\tilde{p} \in \mathbf{p}$ , we can assume that  $q^{(k)} = q + t^{(k)}$  has no zeros in common with  $\tilde{p}$  for sufficiently small  $\varepsilon$ . Therefore

$$\text{mod}\left(\frac{s^{(k)}(t^{(k)} - t^{(k-1)}) - w}{q^{(k)}}, \tilde{p}\right)$$

is well defined. Substituting  $\mathbf{p}$  for  $p^*$ , and  $\mathbf{w}$  for  $w$  in (14) derives (15) by the inclusion property.  $\square$

Therefore if we can calculate  $\mathbf{w}$ , and can also calculate  $\mathbf{s}^{(k)}$  and  $\mathbf{t}^{(k)}$  that satisfy

$$\mathbf{s}^{(k)} q^{(k)} + \mathbf{t}^{(k)} \mathbf{p} = s^{(k)}(t^{(k)} - t^{(k-1)}) - \mathbf{w}$$

then

$$p^* \in \mathbf{p}^{(k)} := \mathbf{p} + \mathbf{s}^{(k)}.$$

Now let us consider a method to calculate  $\mathbf{w}$ . Let

$$C_p = \begin{pmatrix} 0 & 0 & \cdots & 0 & -a_0/a_m \\ 1 & 0 & \cdots & 0 & -a_1/a_m \\ 0 & 1 & \cdots & 0 & -a_2/a_m \\ \vdots & \vdots & & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & -a_{m-1}/a_m \end{pmatrix}$$

denotes the companion matrix of a polynomial

$$p(z) = a_0 + a_1z + \cdots + a_mz^m \quad (a_m \neq 0).$$

The notation  $\hat{p}$  implies the vector  $(a_0, a_1, \dots, a_m)^T$  of the coefficients of  $p(z)$ .

The following interpolation theorem was established in [18].

**Theorem 3.3** *Let  $p$  be a polynomial of degree  $m$ , and let  $z_1, \dots, z_m$  be distinct zeros of  $p$ . Let  $g$  be a rational function defined at the  $z_i$ . Let the coefficients of the polynomial  $v$  be defined by*

$$\hat{v} = g(C_p)e_1,$$

where  $e_1 = (1, 0, \dots, 0)^T$ . Then

$$v(z_i) = g(z_i), \quad i = 1, 2, \dots, m.$$

Even when some of the  $z_i$  coincide, the polynomial  $v$  of the above theorem is well defined ([18]). In this case, it represents the appropriate Hermite interpolant of  $g$  over the zeros of  $p$ . This implies that  $g - v$  is divisible by  $p$ , and hence  $v = \text{mod}(g, p)$ .

Here we shall use the following notations. The matrix  $|A|$  has elements  $|\alpha_{ij}|$ , that is, absolute value of the elements of  $A = (\alpha_{ij})$ . The notation  $A \leq B$  implies  $\alpha_{ij} \leq \beta_{ij}$  for every  $i$  and  $j$ , where  $B = (\beta_{ij})$ . We also define  $|\boldsymbol{\alpha}| := \max_{\alpha \in \boldsymbol{\alpha}} |\alpha|$  for a closed set  $\boldsymbol{\alpha}$  of complex numbers.

**Theorem 3.4** *Let  $h = \sum_{k=0}^{\infty} \gamma_k z^k$  with  $|\gamma_k| < \eta^k$  where  $0 < \eta < 1$ . Let  $v = \text{mod}(h, p^*)$ , and let  $\hat{v}$  be the vector of the coefficients of  $v$ . If the spectral radius of  $|C_{\mathbf{p}}|$ , denoted by  $\rho(|C_{\mathbf{p}}|)$ , is smaller than  $\eta^{-1}$ , then*

$$|\hat{v}| \leq (I - \eta|C_{\mathbf{p}}|)^{-1}e_1.$$

Proof. Let  $v^{(k)}(z) = \text{mod}(z^k, p^*)$ . By Theorem 3.3 we have the vector  $\hat{v}^{(k)}$  of the coefficients of  $v^{(k)}$  by

$$\hat{v}^{(k)} = (C_{p^*})^k e_1.$$

Therefore

$$\hat{v} = \sum_{k=0}^{\infty} \gamma_k \hat{v}^{(k)} = \sum_{k=0}^{\infty} \gamma_k (C_{p^*})^k e_1.$$

Since  $|\gamma_k| < \eta^k$  for every  $k$ , and  $|C_{p^*}| \leq |C_{\mathbf{p}}|$  for  $p^* \in \mathbf{p}$ ,

$$|\hat{v}| \leq \sum_{k=0}^{\infty} \eta^k |C_{p^*}|^k e_1 \leq \sum_{k=0}^{\infty} \eta^k |C_{\mathbf{p}}|^k e_1.$$

By the hypothesis  $\rho(|C_{\mathbf{p}}|) < \eta^{-1}$ ,  $\sum_{k=0}^{\infty} \eta^k |C_{\mathbf{p}}|^k$  is well defined (for example see [8]), hence we obtain the result of the theorem.  $\square$

If we define the polynomial  $\mathbf{v}$  so that

$$|\hat{\mathbf{v}}| = (I - \eta|C_{\mathbf{p}}|)^{-1}e_1$$

then above theorem implies that  $v \in \mathbf{v}$ . Hence we can obtain  $\mathbf{w}$  by  $\mathbf{w} = \text{mod}(z^{m+n+1}\mathbf{v}, \mathbf{p})$ . There are some estimations for the upper bound of the radius of a circle that includes all the zeros of the corresponding polynomial of  $|C_{\mathbf{p}}|$  by using the coefficients of  $\mathbf{p}$  (for example see [13]). Therefore if all the zeros of any polynomial that belongs to  $\mathbf{p}$  lie in a small disk then we can expect that  $\rho(|C_{\mathbf{p}}|)$  is also small.

## 4 The algorithm

We show the algorithm to calculate a factor by using circular arithmetic. We denote a circular closed region  $\mathbf{z} := \{z \mid |z - c| \leq d\}$  by  $\mathbf{z} := \{c, d\}$  with center  $c = \text{mid}(\mathbf{z})$  and radius  $d = \text{rad}(\mathbf{z})$ . For a polynomial  $\mathbf{p} = \sum_{k=0}^m \mathbf{a}_k z^k$ , the notation  $\text{mid}(\mathbf{p})$  gives the polynomial  $\sum_{k=0}^m \text{mid}(\mathbf{a}_k) z^k$ .

Suppose that the coefficients  $\mathbf{c}_k$ ,  $0 \leq k \leq m + n$  are given. For  $k > m + n$ , we assume that only the parameters  $M$  and  $\eta$  that satisfy  $|\mathbf{c}_k| < M\eta^{k-m-n-1}$  are given. Suppose that the radius  $\delta$  of a disk around the origin which includes  $m$  zeros of  $f$  is also given. Then the following algorithm finds a polynomial with circular coefficients that includes a polynomial factor of  $f$ .

### Algorithm

**Input:**  $\{\mathbf{c}_k\}_{k=0}^{m+n}$ ,  $M$ ,  $\eta$ ,  $\delta$ ,  $m$ ,  $n$ ,  $\epsilon$ ,  $k_{max}$   
**Output:**  $\mathbf{p}^{(k)}$

$p \leftarrow z^m$   
 $r \leftarrow \sum_{k=0}^{m-1} \mathbf{c}_k z^k$   
 $q \leftarrow \sum_{k=m}^{m+n} \mathbf{c}_k z^{k-m}$   
 $\mathbf{p} \leftarrow (z - \{0, \delta\})^m$   
 $s^{(0)} \leftarrow 0$   
 $t^{(0)} \leftarrow 0$   
**for**  $k = 1, 2, \dots, k_{max}$   
    **compute**  $s^{(k)}$  and  $t^{(k)}$  such that  
         $s^{(k)}(q + \text{mid}(t^{(k-1)})) + t^{(k)}p = r$   
    **If**  $\|s^{(k)} - s^{(k-1)}\| \leq \epsilon$  **then** exit for loop  
**end for**  
 $\mathbf{v} \leftarrow (1, z, \dots, z^{m-1})(I - \eta|C_{\mathbf{p}}|)^{-1}e_1$   
 $\mathbf{w} \leftarrow \text{mod}(Mz^{m+n+1}\mathbf{v}, \mathbf{p})$   
 $\mathbf{s}^{(k)} \leftarrow \text{mod}\left(\frac{s^{(k)}(t^{(k)} - t^{(k-1)}) - \mathbf{w}}{q^{(k)}}, \mathbf{p}\right)$   
 $\mathbf{p}^{(k)} \leftarrow (p + s^{(k)} - \mathbf{s}^{(k)}) \cap \mathbf{p}$

## 5 Numerical examples

We implemented our algorithm in MATLAB with INTLAB package [14] which provides circular arithmetic facilities for MATLAB.

**Example 1** Let

$$\begin{aligned} p^*(z) &= (z - 10^{-3})(z + 10^{-3}/2)(z - 10^{-3}/4) \\ &= z^3 - 7.50 \times 10^{-4}z^2 - 3.75 \times 10^{-7}z + 1.25 \times 10^{-10}, \end{aligned}$$

and let

$$q^*(z) = e^x \prod_{k=1}^5 (z - k) \prod_{k=1}^3 (2z + k).$$

Coefficients  $c_k$  were calculated by multiplying the polynomials and the truncated polynomial of Maclaurin expansion of  $e^x$ .

Parameters were  $m = 3$ ,  $n = 12$ ,  $\delta = 10^{-2}$ ,  $\eta = 1/2$  and  $M = 1$ . Underlines show the significant figures of coefficients.

$$\begin{aligned} p^{(1)} &= z^3 - \{\underline{7.4999}80503774 \times 10^{-4}, 8.5 \times 10^{-8}\}z^2 \\ &\quad - \{\underline{3.74999}0236502 \times 10^{-7}, 8.4 \times 10^{-10}\}z \\ &\quad + \{\underline{1.24999}6747551 \times 10^{-10}, 2.8 \times 10^{-12}\}, \end{aligned}$$

$$\begin{aligned} p^{(2)} &= z^3 - \{\underline{7.5000000}27622 \times 10^{-4}, 1.2 \times 10^{-10}\}z^2 \\ &\quad - \{\underline{3.7500000}13836 \times 10^{-7}, 1.2 \times 10^{-12}\}z \\ &\quad + \{\underline{1.25000000}4609 \times 10^{-10}, 4.0 \times 10^{-15}\}, \end{aligned}$$

$$\begin{aligned} p^{(3)} &= z^3 - \{\underline{7.4999999999}56 \times 10^{-4}, 1.9 \times 10^{-13}\}z^2 \\ &\quad - \{\underline{3.7499999999}78 \times 10^{-7}, 1.9 \times 10^{-15}\}z \\ &\quad + \{\underline{1.2499999999}93 \times 10^{-10}, 6.3 \times 10^{-18}\}. \end{aligned}$$

These polynomials include  $p^*$ , and give sharp bounds for coefficients of  $p^*$ .

**Example 2** Let

$$p^*(z) = (z - 10^{-3}) \left( z + \frac{10^{-3}}{2} \right) \left( z - \frac{10^{-3}}{4} \right) \left( z + \frac{10^{-3}}{6} \right) \left( z - \frac{10^{-3}}{8} \right).$$

$q^*$  is same as that of Example 1. Parameters were  $m = 5$ ,  $n = 15$ ,  $\delta = 10^{-2}$ ,  $\eta = 1/2$  and  $M = 1$ .

$$\begin{aligned} p^{(1)} &= z^5 - \{\underline{7.0833}16917107 \times 10^{-4}, 1.4 \times 10^{-7}\}z^4 \\ &\quad - \{\underline{4.2708}23418524 \times 10^{-7}, 2.7 \times 10^{-9}\}z^3 \\ &\quad + \{\underline{1.24999}7100176 \times 10^{-10}, 2.6 \times 10^{-11}\}z^2 \\ &\quad + \{\underline{1.30208}0955610 \times 10^{-14}, 1.3 \times 10^{-13}\}z \\ &\quad - \{\underline{2.6108}12137458 \times 10^{-18}, 2.6 \times 10^{-16}\}, \end{aligned}$$

$$\begin{aligned}
\mathbf{p}^{(2)} &= z^5 - \{7.08333335294 \times 10^{-4}, 1.9 \times 10^{-10}\}z^4 \\
&\quad - \{4.270833346599 \times 10^{-7}, 3.6 \times 10^{-12}\}z^3 \\
&\quad + \{1.250000003879 \times 10^{-10}, 3.6 \times 10^{-14}\}z^2 \\
&\quad + \{1.302083337377 \times 10^{-14}, 1.8 \times 10^{-16}\}z \\
&\quad - \{2.604166674751 \times 10^{-18}, 3.5 \times 10^{-19}\},
\end{aligned}$$

$$\begin{aligned}
\mathbf{p}^{(3)} &= z^5 - \{7.08333333301 \times 10^{-4}, 2.7 \times 10^{-13}\}z^4 \\
&\quad - \{4.27083333314 \times 10^{-7}, 5.4 \times 10^{-15}\}z^3 \\
&\quad + \{1.24999999994 \times 10^{-10}, 5.3 \times 10^{-17}\}z^2 \\
&\quad + \{1.30208333327 \times 10^{-14}, 2.6 \times 10^{-19}\}z \\
&\quad - \{2.60416666655 \times 10^{-18}, 5.3 \times 10^{-22}\}.
\end{aligned}$$

**Example 3** Let

$$\begin{aligned}
f &= (\sinh(2z^2) + \sinh(10z) - 1) \times (\sinh(2z^2) + \sinh(10z) - 1.01) \times \\
&\quad (\sinh(2z^2) + \sinh(10z) - 1.02).
\end{aligned}$$

This function has 21 simple zeros inside the unit circle. They form 7 clusters, where each cluster consists of 3 zeros. This function was studied in [11, 15] as an example for finding the center of each clusters. Their results show that one of the clusters is located at  $z = 8.777826159 \times 10^{-2}$ , it contains 3 zeros, and its size is  $O(10^{-3})$ . The distance to the center of the nearest cluster is about 0.32.

From these results, we estimated the coefficients  $\mathbf{c}_k$  by using the FFT with size 64 at the equi-distributed points on the circle with radius 0.1. We estimated  $p^*$  by using multiple precision arithmetic in Mathematica to verify the numerical results.

$$\begin{aligned}
p^* &= z^3 + 7.3711680121192 \times 10^{-4}z^2 \\
&\quad - 4.7678119480547 \times 10^{-5}z \\
&\quad - 1.1197980731788 \times 10^{-8}.
\end{aligned}$$

Parameters were  $m = 3$ ,  $n = 12$ ,  $\delta = 10^{-1}$ ,  $\eta = 0.5$  and  $M = 1$ .

$$\begin{aligned}
\mathbf{p}^{(1)} &= z^3 + \{7.3711670951 \times 10^{-4}, 1.6 \times 10^{-7}\}z^2 \\
&\quad - \{4.7678113222 \times 10^{-5}, 1.4 \times 10^{-8}\}z \\
&\quad - \{1.1197979540 \times 10^{-8}, 4.4 \times 10^{-10}\},
\end{aligned}$$

$$\begin{aligned}
\mathbf{p}^{(2)} &= z^3 + \{7.3711680211 \times 10^{-4}, 5.4 \times 10^{-11}\}z^2 \\
&\quad - \{4.7678119478 \times 10^{-5}, 4.8 \times 10^{-12}\}z \\
&\quad - \{1.1197981010 \times 10^{-8}, 1.6 \times 10^{-13}\},
\end{aligned}$$

$$\begin{aligned}
\mathbf{p}^{(3)} &= z^3 + \{7.3711680206 \times 10^{-4}, 3.9 \times 10^{-12}\}z^2 \\
&\quad - \{4.7678119474 \times 10^{-5}, 3.5 \times 10^{-13}\}z \\
&\quad - \{1.1197981009 \times 10^{-8}, 2.0 \times 10^{-14}\}.
\end{aligned}$$

## 6 Conclusions

We discussed a method to find a factor of an analytic function  $f(z)$ . A fixed point relation for a polynomial factor  $p^*$  is derived. Based on this relation, an algorithm to find a factor of  $f(z)$  with circular arithmetic is proposed. The presented method finds good bounds for coefficients of a factor in some numerical examples.

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