Type-Safe Generation of Modules in Applicative and Generative Styles

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Abstract

The MetaML approach for multi-stage programming provides the static guarantee of type safety and scope safety for generated code, regardless of the values of static parameters. Modules are indispensable to build large-scale programs in ML-like languages, however, they have a performance problem. To solve this problem, several languages proposed recently allow one to generate ML-style modules. Unfortunately, those languages had the problems of limited expressiveness, incomplete proofs, and code explosion.

This paper proposes two-stage programming languages for module generation, which solve all the above issues. Our languages accommodate two styles: first-class modules with generative functors and second-class modules with applicative functors. Module generation in both styles is shown to have their own merits by concrete examples. We present type systems, and type-preserving translations from our languages to plain MetaOCaml. We also show the results of performance measurements, which confirms the effectiveness of our languages.

CCS Concepts: • Software and its engineering \rightarrow General programming languages.

Keywords: Program Generation, Modules, Type Safety, Program Transformation

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1 Introduction

Modules provide a high-level abstraction mechanism to programming languages. They are indispensable to build largescale programs in ML-family languages, just like classes in many object-oriented languages, and type classes in Haskell. Coq^1 and MirageOS² are two successful examples of largescale applications in OCaml, a dialect of ML-family languages, both of which extensively use modules. While modules are useful as building blocks of large programs and allow separate compilation, the independent nature of modules sometimes has a performance problem, as a function call in a different module needs indirection. Although each software system including MirageOS solves this problem in its own way, a uniform and natural solution is called for, and several authors proposed to apply the program-generation technique to modules to improve the performance.

Program generation, or multi-stage programming, is to separate the execution of programs into two or more stages. At the first stage, code is generated, and at the second stage, the generated code is executed. The result of the second stage may also be code, and the same pattern may continue at the third and later stages. The generated code at earlier stages can be specialized in some input data (static data). The merit of this separation is that the specialized code with the other input data (dynamic data) is expected to run faster than the original, unspecialized code. Among various studies on program generation, the MetaML approach [16, 19] uses quasi-quotation to represent generated code, and focuses on giving the static guarantee of type safety of any generated code. MetaOCaml³, a multi-stage extension of OCaml, is one of the most successful languages in the MetaML-style program generation [10, 17]. MetaOCaml allows the generation of terms only, since the foundational type theory for MetaML-style languages [8, 18] targets them only.

This paper addresses the efficiency problem for ML-style modules, and proposes two languages that allow generation of ML-style modules in a type-safe way. We are not the first to propose such a language. Inoue et al. [9] were the first to argue an imaginary extension of MetaOCaml which allows generating code of modules. Later, Watanabe et al. [20] and Sato et al. [15] proposed concrete languages

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¹https://coq.inria.fr

²https://mirage.io

³http://okmij.org/ftp/ML/MetaOCaml.html

for generating and manipulating code of modules as well as implemented the language, and showed that applying program generation to ML-style modules reduces the overhead of modules for several examples. Sato et al. solved a code explosion problem in Watanabe et al.'s study that the size of generated code may increase exponentially in certain programs. Unfortunately, these proposals are premature, and have several problems. First, they are implemented by translating to MetaOCaml, however, no formal properties on the translations, such as type preservation, were proved. Hence, it is not certain whether all terms in their languages are translated. Second, while their languages can generate firstclass modules with generative functors, there exist examples that need module generation with second-class modules and applicative functors, which are the standard functors in the current OCaml. Finally, several important features in modular programming such as abstract types and nested modules are not supported by existing languages.

In this paper, we propose two programming languages and study their type-theoretic foundation, which solve the above problems. Namely, our languages allow one to generate ML-modules in the applicative style with second-class modules, and in the generative style with first-class modules. We show that there is a useful program which can be written in the applicative style, but not in the generative style. We formalize a type system for the language in the applicative style, and show that a translation from it to plain MetaOCaml preserves typing. The translation is implemented on top of MetaOCaml, and our experiment shows that both the performance problem by module separation and the code explosion problem are resolved by our system.

Various works in the literature proposed languages for generating high-level abstractions such as modules. Racket's module system with submodules [7] is one of the closest to ours, which enables phase separation for language extensions, and coexists with the hygienic macro system. Our languages are hygine, and have nested modules and phase separation, hence they share many features. A major difference between the two is that we take the purely generative⁴ approach, which allows us to prove the static assurance of type safety⁵ for all generated code. In fact, types help a lot in code generation, and abstract types are key for the abstractions by ML-style modules. In this paper, we devote ourselves to build a type-theoretic foundation of our languages to eliminate the problems above, and to our knowledge, this paper gives the first type system for module generation, which has a complete definition and a translation to MetaOCaml. It is an interesting future work to investigate how our languages can be used for language extensions as studied in the literature.

Our contribution is summarized as follows.

- We define two languages that allow module generation in two stages. The first one has first-class modules and generative functors as in the existing works, while the second one has second-class modules and applicative functors, which has never been studied as the target of module generation in the literature.
- Our languages have important features in ML-style modular programming such as abstract types and nested modules, which are missing in the earlier works.
- By concrete examples, we show that module generation in the applicative style is useful to eliminate indirect calls beyond module boundaries.
- We formulate precise type systems for our languages. The traditional type system for applicative functors has involved typing rules to cope with paths, and we successfully generalize it to the two-stage language for module generation. We prove that translations from our languages to plain MetaOCaml preserve typing.
- We implement the translations, and conduct the performance measurements, which show that our two languages can eliminate the overhead of functor applications and are free from code explosion.

The rest of this paper is organized as follows: Section 2 explains several important concepts about ML-modules. Section 3 shows concrete examples written in our languages and explains how our languages are useful in writing code and improving efficiency. Section 4 formally introduces our languages and their type systems, and Section 5 gives type-preserving translations to plain MetaOCaml. Section 6 shows the results of experiments on a microbenchmark. Section 7 states the related work, and Section 8 gives conclusion and future work.

2 Background: ML-modules

Modules are a language feature in OCaml to package relevant definitions and separate specifications and implementations, which allow us to develop large-scale applications in a typesafe way that achieves reusability and maintainability. This section illustrates the basics and key features of modules by examples.

2.1 Structures

Structures correspond to implementations of modules, which are defined by a sequence of *components*. The *components* consist of definitions of types, values, and modules.

Figure 1 shows an example of a structure that represents a set of integers. The structure IntSet is defined by the expression **struct** ... **end**, and has two type components, elt_t and set_t, that represent the type of the element and the type of the set, respectively. In this case, the type elt_t is defined as the type int, and the set_t is defined as the list of elt_t.

⁴In the purely generative language, once code values are generated, they cannot be inspected or analyzed.

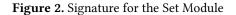
⁵Strictly speaking, type safety holds for our language without the run primitive.

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```
module IntSet =
  struct
  type elt_t = int
  type set_t = elt_t list
  let rec member elt set =
    match set with
    | [] → false
    | hd :: tl → elt = hd
    || member elt tl
end
```

Figure 1. Structure for the Set Module

```
module type SET =
   sig
   type elt_t
   type set_t
   val member: elt_t→set_t→bool
   end
```



In addition, it has the value component member which returns whether the argument set contains the argument elt. Components can be referenced from outside the structure by the *dot notation*. For example, we write IntSet.member to refer to the function member. Module structures may be simply called modules.

2.2 Signatures

Signatures correspond to a specification, or an interface, of modules. Signatures achieve data abstraction to eliminate programs that depend on an implementation of modules. Therefore, signatures make it easy to modify or replace an implementation of modules, which improves the maintainability of programs.

Continuing with the example in Figure 1, users of IntSet should not know the implementation details. To hide the fact that the set is implemented by the list, we can define the signature SET for the structure IntSet as shown in Figure 2. The signature SET is defined by the expression **sig** ... **end**, which contains a sequence of specifications for the components in the IntSet. elt_t and set_t are *abstract types* that hide an implementation of corresponding type components. The function member takes values of types elt_t and set_t, and returns a value of type bool.

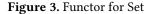
A structure can be *sealed* in OCaml. For instance, by defining module IntSet' = (IntSet : SET), the equivalence IntSet'.elt_t = int does not hold.

2.3 Functors

Functors are modules parameterized by modules and correspond to functions over modules, which achieve reusability. Figure 3 shows an example of a functor that makes a module

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```
module type EQ =
  sig
    type t
    val eq: t \rightarrow t \rightarrow bool
  end
module MakeSet (Eq: EQ): SET =
  struct
    type elt_t = Eq.t
    type set_t = elt_t list
    let rec member elt set =
       match set with
       | []
                    \rightarrow false
       | hd :: tl \rightarrow Eq.eq elt hd
                    || member elt tl
  end
```



```
module IntEq: EQ =
   struct
    type t = int
    let eq x y = Int.equal x y
   end
module StringEq: EQ =
   struct
   type t = string
   let eq x y = String.equal x y
   end
module IntSet = MakeSet(IntEq)
module StringSet = MakeSet(StringEq)
```

Figure 4. Integer Set and String Set by Functor

of sets. The signature EQ has the type component t, and the function eq, which is intended to be an equality function over the type t. The functor MakeSet takes a module Eq with the signature EQ, and creates a SET module using Eq as the elements of the set. Figure 4 re-defines the structure IntSet in Figure 1 by applying the functor MakeSet to IntEq. IntEq is a structure that contains the concrete components for integers, sealed with EQ. We can get another SET structure by applying MakeSet to another structure with the signature EQ. StringSet is obtained by applying MakeSet to a string structure.

2.4 Modules vs Classes

ML-style modules provide a useful abstraction to programs, just as classes in object-oriented languages do. However, there are significant differences between the two.

The first, and most notable, difference is that classes can have states, while modules without side effects cannot. In ML, stateful objects should be created by another means.

Another difference is that the signature of a module can have abstract types. The signature SET in Figure 2 has the type components elt_t and set_t, which are left unspecified. They can be used in SET as if they are actual types. Abstract types make it possible to write many interesting programs or programming patterns such as modular implicits [21], and tagless-final embedding [2].

2.5 Generative Functors vs Applicative Functors

Two semantics for functors have been studied in the literature [4]. Generative functors are standard in Standard ML: for a functor F and a module M, applying F to M twice (i.e. F(M) twice) would generate modules whose signatures are not compatible. A canonical example for the usefulness of this semantics is the functor SymbolTable in Figure 5, which is taken from Dreyer's thesis [4]. This example represents a symbol table implemented with a hash table. The signature SYMBOL_TABLE hides a concrete type of the symbol and an internal hash table, and exposes two components: string2symbol and symbol2string to interconvert between symbol and string. The generative functor SymbolTable makes a structure sealed with SYMBOL_TABLE. The parenthesis () is used to specify a generative functor in OCaml. The components string2symbol and symbol2string access to the internal hash table table. The notable point lies in the implementation of symbol2string. The exception Failure should never be raised while the symbol n is obtained by string2symbol in the same structure, as the corresponding string can be found in the table. In generative semantics, type checking can guarantee that no exceptions will be raised. For example, assuming the structures ST1 and ST2 instantiated by the functor SymbolTable, ST1.symbol is not equal to ST2.symbol. Thus, a symbol obtained by ST2.string2symbol is never given to ST1.symbol2string.

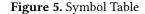
On the other hand, *applicative functors* are standard in OCaml: applying F to M twice would always generate modules whose signatures are compatible. The functor MakeSet in Figure 3 is appropriate for applicative semantics. For example, assuming two structures generated by the same functor application MakeSet(IntEq), since they have the same type and equality function for integers, there is no reason to distinguish them.

2.6 First-Class Modules vs Second-Class Modules

In ML, the module language exists in a separate layer from the core language for expressions, and hence modules are *second-class* objects. There is an extension of ML that treats modules as ordinary values, which are called *first-class modules*. First-class modules allow one to dynamically dispatch a module with conditional expressions and define a function that takes a module and returns it. Functions over first-class modules need to have generative semantics in the sense that the modules returned by applying such functions to firstclass modules must have fresh signatures, that cannot be equal to other signatures.

OCaml (MetaOCaml) supports both first- and second-class modules. Second-class modules are *packed* into first-class modules and *unpacked* from first-class modules. OCaml uses

```
module type SYMBOL_TABLE =
  sig
    type symbol
    val string2symbol: string→symbol
    val symbol2string: symbol→string
  end
module SymbolTable (): SYMBOL_TABLE =
  struct
    type symbol = int
    let table =
      (* allocate internal hash table
           *)
      Hashtbl.create initial_size
    let string2symbol x =
      (* lookup (or insert) x *)
    let symbol2string n =
      match Hashtbl.find table n with
        Some x \rightarrow x
        None
         raise (Failure "bad symbol")
  end
module ST1 = SymbolTable ()
module ST2 = SymbolTable ()
```



the syntax (module m:S) to pack the module m with the signature S into a value of type (module S), and the syntax (val m) unpacks m to a module. Components inside first-class modules can only be accessed via unpacked modules.

3 Examples of Module Generation

This section shows concrete examples of module generation in the languages for applicative functors with second-class modules, and the one for generative functors with first-class modules. We will show different examples for each language, which reveals the merits of each language.

3.1 Examples with Applicative Functors and Second-Class Modules

We show examples in the language with applicative functors and second-class modules, which is called $\lambda^{<A>}$ (A for applicative).

Our first example is MakeSet, the standard example for ML-modules. To manipulate and generate code of modules, $\lambda^{<A>}$ provides two multi-stage constructors in addition to the constructors added to Watanabe et al.'s language. To illustrate these constructors, we first give an example of the MakeSet program in $\lambda^{<A>}$ (Figure 6). Following Watanabe et al.'s language, our $\lambda^{<A>}$ provides a constructor \$ to extract code of a module that has a value component eq of type τ , then (\$Eq). eq is the code of this value component of type τ code. Similarly, we can extract the type component: suppose Eq is code of a module that contains a type component t = int, (\$Eq). t refers to the type int.

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```
module MakeSet =
  functor (Eq : EQ mcod) \rightarrow
     《 (struct
       type elt_t = $Eq.t
       type set_t = elt_t list
       let rec member elt set =
         match set with
         | []
                      \rightarrow false
         | hd :: tl \rightarrow ~($Eq.eq) elt
             hd || member elt tl
    end: SET) »
module IntEq =
   《 (struct
    type t = int
    let eq = (=)
  end: EQ) »
module IntSet = Runmod(MakeSet(IntEq)
    : SET)
```

Figure 6. MakeSet Functor in $\lambda^{\langle A \rangle}$

```
module MakeSet =
  functor (Eq : EQ') \rightarrow
  (struct
   type elt_t = Eq.t
   type set_t = elt_t list
   let member = genlet
     <lpre><let rec member elt set =</pre>
      match set with
      1 []
              \rightarrow false
      | hd::tl \rightarrow ~(Eq.eq) elt hd
               || member elt tl
     in member>
  end: SET')
module IntEq =
  (struct
    type t = int
    let eq = genlet <(=)>
  end: EQ')
module IntSet =
  struct
    module X = MakeSet(IntEq)
    type elt_t = X.elt_t
    type set_t = X.set_t
    let member = Runcode.run X.member
  end
```

Figure 7. MakeSet Translated from $\lambda^{\langle A \rangle}$ to MetaOCaml

The key point in $\lambda^{\langle A \rangle}$ is to distinguish code of modules from code of core expressions to avoid expressions that cannot be translated. To do so, we introduce a type **mcod**, brackets $\langle \rangle \rangle$, and an escape \approx , for code of modules. For example, assuming a structure *m* has the type *M*, $\langle m \rangle$ has the type *M* **mcod**. Furthermore, if *X* is bound to $\langle m \rangle$, then *X* can be spliced into other code of a module such as $\langle ...(\approx X)... \rangle$. Continuing with the example in Figure 6, MakeSet has the type functor(Eq: EQ mcod) -> SET mcod, and IntEq has the type SET mcod. The result of the functor application MakeSet(IntEq) is given to Runmod, then IntSet has the type SET.

Figure 7 shows a program translated from Figure 6, where the definitions of SET' and EQ' are omitted. Through the translation, constructors for module generation are eliminated as the genlet primitive is inserted into the body of the component member. The role of genlet in the latest Meta-OCaml⁶ is to perform let-insertion to share generated code. Sato et al. [15] proposed to use genlet to avoid code explosion.

Our second example shows the usefulness of applicative functors. We borrow an example from Leroy's paper [11], which implements dictionaries by a functor MakeDict:

```
module type DICT =
sig
type key
type 'a dict
val empty: 'a dict
val add: key→'a→'a dict→'a
dict
val find: key→'a dict→'a
end
module MakeDict =
functor(Key: EQ) →
(struct
type key = Key.t
type 'a dict = (key*'a) list
...
end: DICT)
```

The parameter Key of MakeSet is the key of this dictionary. We can extend the functor with the operation domain that returns the set of keys of a dictionary. The simplest way is to make a module for the set of keys inside the functor MakeDict using the functor MakeSet:

```
module MakeDict =
functor(Key: EQ) →
 (struct
 ...
 module KeySet = MakeSet(Key)
 let domain dict=..KeySet.member..
end: DICT)
```

To eliminate the abstraction overhead of functor applications, we can rewrite the above program in $\lambda^{<A>}$ as follows:

⁶http://okmij.org/ftp/ML/MetaOCaml.html

Suppose functors are given the *generative* semantics, then the set of keys returned from domain cannot be used with other sets obtained by applying MakeSet to the same module for an element type. The types of their sets are incompatible. For example, we consider a functor MakePrioQueue implementing priority queues that use sets in the same way as MakeDict.

Then, we give the module IntEq to the two functors:

```
module IntDict =
   Runmod(MakeDict(IntEq): DICT)
module IntPrioQueue =
   Runmod(MakePrioQueue(IntEq):
        PRIOQUEUE)
```

IntDict and IntPrioQueue contain the same set of integers, but the types of their sets are not compatible. Therefore, the following expression causes a type error.

IntDict.domain d =
 IntPrioQueue.contents q

A possible solution to this problem is to hoist MakeSet from MakeDict and MakePrioQueue, and to share the functor application MakeSet(IntEq). In this case, MakeDict and MakePrioQueue take an extra argument for the set hoisted out, in addition to the argument Key (or Elt). Unfortunately, this solution has some problems:

- All programs that use MakeDict or MakePrioQueue require modifications to the functor arguments, even if some programs do not use the operations on the sets.
- Hoisting the functor application MakeSet(IntEq) to a common point requires a non-local program transformation.

In applicative semantics, there are no such problems. Therefore, we think that applicative functors are useful for module generation. Besides the above merit, since applicative functors and second-class modules are common in OC aml, existing OC aml programs can be staged with a little cost if one works in $\lambda^{<A>}$.

3.2 Examples with Generative Functors and First-Class Modules

In this subsection, we show examples that use generative functors and first-class modules. We call the language as $\lambda^{<G>}$ (*G* for generative), which is a refined version of our predecessors [15, 20]. The most useful aspect of $\lambda^{<G>}$ is that a program can choose code of modules. Figure 8 shows a program where an implementation of a logger is dynamically dispatched to the main application depending on a command-line argument. In this example, there are only two choices: consoleLogger for printing logs to a console, or fileLogger for writing logs to a file.

For this setting, we may be able to generate the main application inlined for all possible combinations at compiletime, such as consoleLogApp and fileLogApp. In general, however, applications have many runtime parameters such as command-line arguments, and due to combinatorial explosion, it is difficult to generate all possible combinations at compile time. Hence, generating code of modules at runtime is useful for specializing applications which have many parameters.

Another aspect to be noted is that the abstraction overhead can be eliminated from programs suitable for generative functors such as the example of SymbolTable in Section 2.5. Functors represented as ordinary functions return modules with fresh abstract types.

 $\lambda^{\langle G \rangle}$ provides two multi-stage constructors for modules in addition to Watanabe et al.'s \$ and **run_module**. One is the type **mcod** for code of modules. The other is brackets $\langle \langle \rangle \rangle$ for modules. Note that escapes for modules are not introduced because the syntax becomes too complex. Since traditional MetaOCaml can generate code of expressions, one might think that a language for generating first-class modules can be implemented as a lightweight extension to MetaOCaml. Unfortunately, it is not the case for Watanabe et al.'s translation and ours, since code of ordinary expressions and code of modules have different semantics, and they need to be distinguished.

Figure 9 shows the MakeSet functor expressed in $\lambda^{\langle G \rangle}$. It is translated to the program in Figure 10 by our translation.

There is a trade-off between $\lambda^{\langle A \rangle}$ and $\lambda^{\langle G \rangle}$. In the language $\lambda^{\langle A \rangle}$, the dictionary example in the previous section can be expressed. However, it does not allow a program that dynamically selects a module to be specialized, since dependencies between second-class modules are solved statically. $\lambda^{\langle G \rangle}$ is opposite to $\lambda^{\langle A \rangle}$. OCaml supports the two styles in a single language, and this paper is the first to propose a language extension of module generation for both styles.

4 Proposed Languages

We propose two languages for module generation. Due to space limitations, we present the language $\lambda^{<A>}$ here. Readers are encouraged to refer to the full version of this paper⁷.

The language $\lambda^{\langle A \rangle}$ is a two-stage language as an extension of core MetaOCaml plus module generation. It has ordinary simply-typed lambda terms, second-class modules, and

```
<sup>7</sup>http://logic.cs.tsukuba.ac.jp/~yuhi
```

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```
module type LOG =
  sig
    val info: string \rightarrow unit
    val error: string \rightarrow unit
  end
let consoleLogger =
  《 (module struct
    let print level msg =
      Printf.printf "[%s] %s\n" level
           msg
    let info msg = print "INFO" msg
    let error msg = print "ERROR" msg
  end: LOG) »
let fileLogger =
  《 (module struct
  end: LOG) »
let makeApp (logger: (module LOG)
    mcod) =
  《 (module struct
    let start () =
    ~($logger.info) "Start app";
  end: APP) »
let () =
  let logger =
    if Sys.argv.(1) = "console" then
        consoleLogger
    else fileLogger in
  let app = makeApp logger in
  let module App = (val (run_module
      app: APP)) in
  App.start () ;;
```

Figure 8. Choosing Logger Depending on Runtime Options

```
let makeSet (eq: (module EQ) mcod)=
  《 (struct
    type elt_t = $eq.t
    type set_t = elt_t list
    let rec member elt set =
      match set with
               \rightarrow false
      | []
      | hd::tl \rightarrow ~(\$eq.eq) elt hd
               || member elt tl
  end: SET) »
module IntSet = (val (run_module
  (makeSet 《 (module struct
    type t = int
    let eq = (=)
  end: EQ) ≫ )))
```

Figure 9. MakeSet Functor in $\lambda^{\langle G \rangle}$

multi-stage constructors for code generation. The design of the language is based on Leroy's applicative-functor calculus [11], the classic type system $\lambda \circ$ [3], and Watanabe et al.'s calculus [20]. We confine ourselves to a minimal language to express our results. Extending our language by more features

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```
let makeSet (eq: (module EQ')) =
  (struct
    module Eq = (val eq)
    type elt_t = Eq.t
    type set_t = elt_t list
    let member = genlet
      <lpre><let rec member elt set =</pre>
         match set with
                 \rightarrow false
         1 []
         | hd::tl \rightarrow \sim (Eq.eq) elt hd
                 || member elt tl
      in member>
  end: SET')
module IntSet = (val
  (module struct
    module S =
      (val (makeSet (module struct
         type t = int
         let eq = genlet <(=)>
      end: EQ')))
    type elt_t = S.elt_t
    type set_t = S.set_t
    let member=run S.member
  end: EQ))
```

Figure 10. MakeSet Translated from $\lambda^{\langle G \rangle}$ to MetaOCaml

such as sharing constraints and computational effects is left for future work.

4.1 Syntax

Figure 11 defines the syntax for terms in $\lambda^{\langle A \rangle}$. We use metavariables *m* for module expressions, *s* for a sequence of structure components, *c* for structure components, *p* for access paths, *e* for core expressions, and *P* for programs. Also, *x*, *t*, and *X* are names for values, types, and modules, respectively. Duplicate component names are prohibited by typing rules. In this language, base types and primitives are unspecified. Complete programs *P* are sequences of structure components. For simplicity, we sometimes omit **prog** and **end** in program examples.

We introduce brackets $\langle\!\langle\rangle\!\rangle$, an escape \approx , and **Runmod**, for module expressions. Since the module expressions are *second class*, which means that they exist on a different layer than that of the terms. the multi-stage constructors for them should be distinguished from those for terms, which are <>, \sim , and **run**. Following Watanabe et al.'s calculus, we introduce the \$ constructor to extract a component contained in code of a module as code. For example, \$p.x extracts the value component *x* as code from code of a module accessed with the path *p*, and its code can be spliced into other code. \$p.x reads \$(p).x. A functor definition and a restriction by a signature are defined by **functor** (X : M) $\rightarrow m$ and (m : M), respectively. They use unfamiliar syntax for Camel users, but OCaml supports them. The key to applicative functors is that the access paths include a path application $p_1(p_2)$, which

$$m ::= X | p.X | \text{ struct } s \text{ end } | (m : M) | m (p)$$

$$| \text{ functor } (X : M) \rightarrow m$$

$$| \langle \langle m \rangle \rangle | \approx m | \text{ Runmod } (m : M) | \$ p.X$$

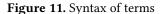
$$s ::= \epsilon | c s$$

$$c ::= \text{ let } x : \tau = e | \text{ type } t = \tau | \text{ module } X = m$$

$$p ::= X | p.X | p_1(p_2) | \$ p.X$$

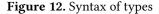
$$e ::= x | p.X | < e > | ~ e | \text{ run } e | \$ p.x$$

$$| \text{ fun } x \rightarrow e | e e | \text{ let } x = e \text{ in } e$$



 $M ::= \operatorname{sig} S \operatorname{end} | \operatorname{functor} (X : M_1) \to M_2 | M \operatorname{mcod} S ::= \epsilon | C S$ $C ::= \operatorname{val} x : \tau | \operatorname{type} t | \operatorname{type} t = \tau | \operatorname{module} X : M$

 $\tau ::= t \mid p.t \mid \tau \rightarrow \tau \mid \tau \text{ code } \mid \$p.t$



is needed to test type equality among modules obtained by functor applications. Along with this, the syntax of a functor application m(p) is restricted to a path argument only.

4.2 Type System

Figure 12 defines the syntax of types. The syntax of types is standard except the following. We use metavariables M for module types, S for a sequence of signature components, C for signature components, and τ for types of core expressions. We introduce the type M **mcod** to distinguish code of modules from code of core expressions. The signature components include an abstract type component (**type** t) and a manifest type component (**type** $t = \tau$). The \$p.t refers to the type component t within code of a module specified with the path p. Our language has no explicit syntax for embedding types to code of a module, which is contrasting to Watanabe et al.'s language.

The typing environment *E* is a possibly empty sequence of signature components *C* annotated with a level *l*. Since $\lambda^{<A>}$ is a two-stage language, *l* is either 0 or 1. The other typing environment Δ is a subsequence of *E*. It is used in the translation only, and explained later.

The first group of typing judgment consists of $\vdash P$ wt for well typedness of *P*, and $E; \Delta \vdash^l M$ wf, $E; \Delta \vdash^l S$ wf, $E; \Delta \vdash^l C$ wf, and $E; \Delta \vdash^l \tau$ wf for well formedness. They claim that the target types or expressions are well typed or well formed under the assumptions $E; \Delta$ at the stage *l*. We omit the definition in this paper.

The second group of judgements consist of $E; \Delta \vdash^l e : \tau$ for an expression *e* of type $\tau, E; \Delta \vdash^l m : M$ for a module expression *m* of module type *M*, and E; $\Delta \vdash^{l} s$: *S* for a structure *s* with signature *S*. Most typing rules to derive a judgment E; $\Delta \vdash^{l} e$: τ are standard in the type systems for MetaML-like languages [1, 18]. Nevertheless, we explain the rules for basic multi-stage constructs $\langle e \rangle$, $\sim e$, and **run** *e* shown below.

$$\frac{E; \Delta \vdash^{1} e : \tau}{E; \Delta \vdash^{0} < e > : \tau \operatorname{\mathbf{code}}} (E-C) \qquad \frac{E; \Delta \vdash^{0} e : \tau \operatorname{\mathbf{code}}}{E; \Delta \vdash^{1} \sim e : \tau} (E-E)$$

The rule E–C assigns the type τ **code** to the code expression $\langle e \rangle$ if *e* has type τ . The levels of judgments are used to distinguish level-1 expressions, such as *e* in this rule, from level-0 expressions, such as $\langle e \rangle$. The rule E–E is opposite to E–C; the 'escape' expression $\sim e$ splices the code value of *e* into another code. For example, we can derive

$$x_i$$
; \mapsto^0 **let** $x = \langle 3 \rangle$ **in** $\langle \langle x + 7 \rangle$: int **code**

assuming that integer constants and addition are added,

We did not introduce environment classifiers [18] to keep our type system compact. As a drawback, the scope-extrusion problem may occur; the run-expression may receive an open code as the value of *e*, causing a runtime error. However, it should not be difficult to include environment classifiers in our type system.

The judgment for module expressions E; $\Delta \vdash^{l} m : M$ has the following typing rules for multi-stage constructors:

$$\frac{E; \Delta \vdash^{1} m : M}{E; \Delta \vdash^{0} \langle\!\langle m \rangle\!\rangle : M \operatorname{mcod}} (M-C) \frac{E; \Delta \vdash^{0} m : M \operatorname{mcod}}{E; \Delta \vdash^{1} \approx m : M} (M-E)$$

The rule M-C assigns a type to code of a module $\langle\!\langle m \rangle\!\rangle$, and the rule M-E to a splice of a module $\approx m$. They are quite similar to the counterpart rules E-C and E-E for core expressions.

The following rule M-R assigns a type to a run expression for modules:

$$\frac{E; \Delta \vdash^{0} m : M \operatorname{\mathbf{mcod}}}{E; \Delta \vdash^{0} \operatorname{\mathbf{Runmod}} (m:M) : M}$$
(M-R)

Our type system has a few involved rules. The first one is the typing rule for the \$-operator:

$$\frac{E; \Delta \vdash^{0} p : (\operatorname{sig} S_{1} (\operatorname{val} x : \tau) S_{2} \operatorname{end}) \operatorname{mcod}}{E; \Delta \vdash^{0} \$p.x : \tau' \operatorname{code}} (E\text{-DotCode})$$

To understand the rule, assume $\tau' = \tau$. Then, the rule says that given *p* of a module-code type and *x* has type τ in the module, p.x has the type τ **code**. This reflects our intention that the \$-operator converts code of a module to a module consisting of code values. In the precise formulation, τ' is $\tau[z \leftarrow p.z \mid z \in Dom(S_1)]$ code which involves a substitution; see Leroy's calculus [11].

The second involved rule is the rule M-STRENGTHENING to derive the equivalence of module types:

$$\frac{E; \Delta \vdash^{0} p : M}{E; \Delta \vdash^{0} p : M/p^{0}}$$
(M-Strengthening)

The *strengthening* operation replaces abstract type components with manifest type components with a path. For instance, assuming a module A has type sig type t end, this operation translates its type to sig type t = A.t end. Also, assuming the result type of functor application (path application) F(A) is sig type t end, its strengthened type is sig type t = F(A).t end. Intuitively, this operation gives a module type an identity. We use a notation M/p^l , which is based on Leroy's style [11], to strengthen the module type M with the path p at the level l. The level l in M/p^l is for the operation / rather than the path p, which plays the role of a flag that indicates whether it is inside **mcod**.

We emphasize that strengthening is needed for the applicative semantics only, which complicates the formal development, compared with the generative semantics. In the multi-stage languages, we need to consider the case when the path p contains the \$-operator.

4.3 Examples of Typing Derivation

We explain how the types for the modules and the functor in Figure 6 is derived.

Let $E = (Eq : EQ mcod)^0$ where EQ is the signature defined in Figure 3. The \$-operator turns code of a module (EQ) to a module consisting of code, hence we have:

$$\begin{array}{c} E; \cdot \vdash^{0} \mathsf{Eq} : \mathsf{EQ} \ \mathbf{mcod} \\ \hline \\ \overline{E; \cdot \vdash^{0} \mathsf{\$Eq.eq} : (t \to t \to \mathsf{bool}) \ \mathbf{code}} \\ \overline{E; \cdot \vdash^{1} \sim (\mathsf{\$Eq.eq}) : t \to t \to \mathsf{bool}} \\ \vdots \\ E; \cdot \vdash^{1} \mathsf{member} : \mathsf{elt_t} \to \mathsf{elt_t} \ \mathsf{list} \to \mathsf{bool}} \end{array}$$

In the last step of the above derivation, we used several standard typing rules for terms. We also have all the type components of MakeSet are suitably typed, and together with the above derivation, we can derive the following type:

$$\frac{E; \cdot \vdash^{1} \text{ struct type elt}_{t} = \text{$Eq. t... end : SET}}{E; \cdot \vdash^{1} (\text{ struct type elt}_{t} = \text{$Eq. t... end : SET}) : SET}$$

$$\overline{E; \cdot \vdash^{0} \left(\left(\text{ struct type elt}_{t} = \text{$Eq. t... end : SET} \right) \right) : SET mcod}$$

Then, we can derive $:: \vdash^{0} \mathsf{MakeSet} : \mathsf{EQ_SET_FUN}$ where $\mathsf{EQ_SET_FUN}$ is **functor** ($\mathsf{Eq} : \mathsf{EQ} \operatorname{\mathbf{mcod}}$) $\rightarrow \mathsf{SET} \operatorname{\mathbf{mcod}}$.

Similarly, we can derive the type for IntEq in Figure 6 as $\cdot; \cdot \vdash^{0}$ IntEq : EQ **mcod**. By combining them, we can derive the type for IntSet as follows:

$$\frac{\cdot; \cdot \vdash^{0} \mathsf{MakeSet} : \mathsf{EQ_SET_FUN} \quad E; \cdot \vdash^{0} \mathsf{IntEq} : \mathsf{EQ} \ \mathbf{mcod}}{\cdot; \cdot \vdash^{0} \mathsf{MakeSet}(\mathsf{IntEq}) : \mathsf{SET} \ \mathbf{mcod}}$$

$$\frac{\cdot; \cdot \vdash^{0} \mathsf{MakeSet}(\mathsf{IntEq}) : \mathsf{SET} \ \mathbf{mcod}}{\cdot; \cdot \vdash^{0} \mathbf{Runmod}} (\mathsf{MakeSet}(\mathsf{IntEq}) : \mathsf{SET}) : \mathsf{SET}}$$

5 Translation to MetaOCaml

We define a translation from $\lambda^{<A>}$ to plain MetaOCaml, while we omit the one from $\lambda^{<G>}$ to plain MetaOCaml, which is much simpler than the former.

We first show an example of the translation. Consider the following program written in $\lambda^{<A>}$.

```
module A = 《 struct
type t = int
let x:t = 1
module B = struct
val y:t = 2
end
end »
module A' = Runmod(A : sig
type t = int
val x:t
module B: sig
val y:t
end
end)
```

They are translated as follows:

```
module A = struct
  type t = int
  let x:t code = <1>
  module B = struct
    val y:t code = <2>
  end
end
module A' = struct
  module X = A
  type t = X.t
  let x:t = run X.x
  module B = struct
    module Y = X.B
    let y:t = run Y.y
  end
end
```

In the translation, code of a module is translated to a module, and the type of its value components such as x translates to a code type, for instance, int is translated to int code. On the other hand, the type components do not change, for instance, the abstract type t is equal to int before and after the translation. The translation is applied recursively if code of a module being translated has nested modules (e.g. B). For **Runmod**(A : S), our translation expands all the components of A, and re-constructs a new module A' by collecting them after adding the run-primitive to the value components such as run X.x.

5.1 Translation Rules

We explain the key rules of our translation. For a type τ and a level *l*, the translated type [[τ]]^{*l*} is defined as follows:

$$\begin{bmatrix} t \end{bmatrix}^{l} = t$$
$$\begin{bmatrix} p.t \end{bmatrix}^{l} = \begin{bmatrix} p \end{bmatrix}^{l} t$$
$$\begin{bmatrix} \tau_{1} \rightarrow \tau_{2} \end{bmatrix}^{l} = \begin{bmatrix} \tau_{1} \end{bmatrix}^{l} \rightarrow \begin{bmatrix} \tau_{2} \end{bmatrix}^{l}$$
$$\begin{bmatrix} \tau \operatorname{code} \end{bmatrix}^{0} = \begin{bmatrix} \tau \end{bmatrix}^{0} \operatorname{code}$$

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$$[[\$p.t]]^l = [[p]]^l.t$$

Essentially, types remain the same through the translation except that the symbol \$ is eliminated (in the last rule).

The translation for terms is denoted by $[[\cdot]]^{l}_{\Delta}$, which is parameterized by the level *l* (for l = 0, 1) and the environment Δ explained later. The key rule for value components is shown below:

$$\begin{bmatrix} [e t x : \tau = e] \end{bmatrix}_{\Delta}^{1}$$

= let x : $\begin{bmatrix} \tau \end{bmatrix}^{1}$ code = genlet < $\begin{bmatrix} e \end{bmatrix}_{\Delta}^{1}$ >

A value component at the level 1 is in a code of a module, hence we change its type to a code type, and add the genlet primitive to avoid the code-duplication problem.

For expressions, the translation is homomorphic for most cases except the following rules:

$$\begin{bmatrix} x \end{bmatrix}_{\Delta}^{1} = \begin{cases} \sim x & (x \in \text{Dom}(\Delta)) \\ x & (otherwise) \end{cases}$$
$$\begin{bmatrix} p.x \end{bmatrix}_{\Delta}^{1} = \begin{cases} \sim (\begin{bmatrix} p \end{bmatrix}]^{1} \cdot x) & (\text{head}(p) \in \text{Dom}(\Delta)) \\ \begin{bmatrix} p \end{bmatrix}^{1} \cdot x & (otherwise) \end{cases}$$
$$\begin{bmatrix} \$p.x \end{bmatrix}_{\Delta}^{0} = \begin{bmatrix} p \end{bmatrix}^{0} \cdot x$$

In the first rule, Δ is the set of variables bound in the same module (which appears as a code of the module), hence we must add an escape (splice) to the variable after the translation. Similarly for the second rule. In the last rule, we unconditionally eliminate \$ from the paths.

The rules for $[[m]]^l_{\Delta}$ for a module expression *m* is given as follows:

$$\begin{bmatrix} \langle \langle m \rangle \rangle \end{bmatrix}_{\Delta}^{0} = \begin{bmatrix} m \end{bmatrix}_{\Delta}^{1}$$
$$\begin{bmatrix} \approx m \end{bmatrix}_{\Delta}^{1} = \begin{bmatrix} m \end{bmatrix}_{\Delta}^{0}$$

[[**Runmod** (m : sig S end)]]⁰_{Δ} = struct

module
$$X = [[m]]^{0}_{\Delta}$$

 S / X
end
 $[[$p.X]]^{0}_{\Delta} = [[p]]^{0}.X$

The first rule translates code of a module to a module, but the stage is raised to 1, reflecting the fact that *m* is in the code. The next rule eliminates the escape for code of a module. The third rule translates a runmod-term which is complicated. Its result depends on the signature *S* of the target module since we need to build a new module. The new module contains a nested module with a fresh name and components. The expression $S \parallel X$ when *S* is a value component is defined as:

$$((\mathbf{val} \ x : \tau) \ S) \ // \ X = (\mathbf{let} \ x : \tau = \mathbf{run} \ X.x) \ S \ // \ X$$

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which retrieves the *x*-component from the module *X*, runs it, and binds *x* (in the new module) to its result. The **run**-primitive is propagated to nested modules shown below:

$$(module X' : M) S) // X$$

= (module X' = [[Runmod (X.X' : M)]]_{\epsilon}^{0} S // X

Other module expressions are kept intact and the environment Δ is not important for now. We omit the other clauses of the translation.

5.2 Translation Preserves Typing

We can prove that the following form of simple type preservation holds for the translation. If $E; \Delta \vdash^0 e : \tau$ is derivable in our type system, then $[[E]]_{\Delta} \vdash^0 [[e]]_{\Delta}^0 : [[\tau]]^0$ is derivable. We assume the following two: First, the target language is a subset of MetaOCaml, which is the same as our language without multi-stage constructors for modules. However, the type system in the target language is defined without the second environment Δ , which is obtained by simply removing Δ from our type system. Second, the target type system has a typing rule for genlet. The typing rule for genlet is defined below.

$$\frac{E \vdash^{0} e : \tau \text{ code}}{E \vdash^{0} \text{ genlet } e : \tau \text{ code}}$$

Theorem 5.1. If $E; \Delta \vdash^l e_0 : \tau_0$ is derivable in $\lambda^{<A>}$, then $[[E]]_{\Delta} \vdash^l [[e_0]]_{\Delta}^l : [[\tau_0]]^l$ is derivable.

The proof is obtained by simply applying the standard technique of proving type preservation. The most difficult part is to formulate the typing rules and translation rules correctly in the presence of applicative functors and generation of code and modules.

6 Implementation and Performance

Although the main purpose of this paper is to give a solid type-theoretic foundation to the languages for module generation, the performance of our language is not irrelevant, as the main objective of module generation is to eliminate the overhead of functor applications (or module boundary).

We have implemented our languages using the translations in the previous section and compared the performance of our implementation with those of the existing work. We take a benchmark program used in existing studies such as Watanabe et al. [20]. It implements simplification rules for arithmetic expressions in a domain-specific language (DSL). The DSL is embedded in OCaml by the tagless-final embedding [2], which extensively uses the module system. Simplifications for DSL expressions such as $0 + n \rightarrow n$ and $0 \cdot n \rightarrow 0$ are expressed by functors, and it is desirable to remove the overhead of functor applications.

The following functor Simp implements one of simplifications: Type-Safe Generation of Modules in Applicative and Generative Styles

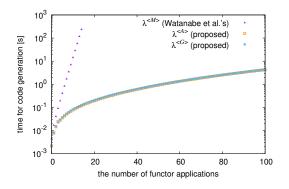


Figure 13. Time for Code Generation

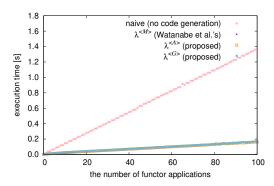


Figure 14. Execution Time for Generated Code

The argument of Simp is code of a structure M, which expresses arithmetic expressions before simplification. The functor Simp simplifies an addition expression when at least one of its arguments is zero. To do this, the type M. int_t is interpreted as a pair of an arithmetic expression and a boolean flag to indicate the expression is zero or not. Since M has the type S mcod,\$M. add refers to the code of the add-component of M correctly, which is spliced into the resulting code, and the overhead of an indirect function call eliminated. Our test program applies the functor Simp to a structure many times such as Simp(Simp(...(M)...)), and we measured the performance of the resulting module.

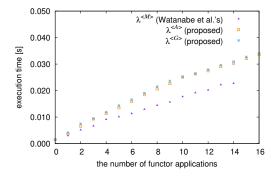


Figure 15. Execution Time for Generated Code (zoomed)

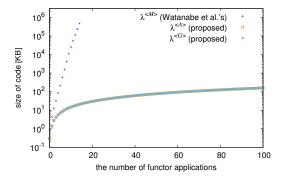


Figure 16. Size of Generated Code

We have measured the performance of the following four variations:

- a naïve OCaml program (no code generation).
- a MetaOCaml program with Watanabe et al.'s translation.
- a MetaOCaml program in $\lambda^{\langle G \rangle}$ with our translation.
- a MetaOCaml program in $\lambda^{\langle A \rangle}$ with our translation.

We did not include the result by Sato et al.'s translation, since for this benchmark the resulting program by their translation is identical to the one in $\lambda^{<G>}$ with our translation.

We have conducted the experiments on Ubuntu 18.04 LTS, Xeon E3-1225 v6@3.3GHz, Memory 32GB, BER MetaOCaml N107 (OCaml 4.07.1), byte code compiler, and all the results are the average of 10 trials. For these programs, we have measured the time for code generation and compilation (Figure 13), the execution time of generated code (Figure 14 and 15), and the size of generated code (Figure 16) where the size of code is the file size in bytes,

The results can be summarized as follows. First, all approaches based on module generation outperform the naïve program (Figure 14), thus we confirmed that it eliminates the overhead of functor applications. Second, both of our two languages have slightly better performance in the execution time than Watanabe et al.'s work, while ours outperform

theirs in the other factors (the code-generation time and the size of generated code). Third, in all experiments, the generative and applicative styles have quite similar performance. Hence, and we can select a suitable style depending on our applications without worrying about the loss of performance.

7 Related Work

In this section, we compare several closely related works with our work.

One can say that our work provides a means to *inline* functor applications based on the programmer's control. There are fully automatic tools or systems to inline functor applications including Flambda [6] and MLton⁸. By aggressively inlining functor applications, they can eliminate redundant indirections. While automatic tools are easier to use than human-controlled tools, we think the former does not always subsume the latter, just like fully automatic partial evaluation does not always subsume programmer-controlled program generation. In particular, when we want to inline functor applications conditionally, based on domain-specific knowledge, or when we want to control the number of inlining (how much we inline the functor applications), human intervention is needed.

Squid [13] is a multi-stage programming framework for Scala, and guarantees that generated code is well-typed and well-scoped. Parreaux and Shaikhha [12] proposed a library for class generation built on top of the Squid and gave practical use cases. Unfortunately, it is difficult to simply apply their use cases to our approach. First, classes can have states, but modules without side effects cannot. Second, their library provides a way to dynamically generate fields of classes, but our language cannot. Achieving them in a type-safe way and giving large-scale practical use cases are left for future work.

The MetaML-style approach taken in this work is *purely generative*. Many studies take opposite approaches based on introspection or reflection which allow the inspection or decomposition of generated code. One of such approaches is Racket's module system [7] mentioned in Section 1. Another related work is SugarJ [5], which provides a library-based approach to module systems for model-driven development. Although their motivation is quite different from ours, there is some technical similarity in that their modules provide phase separation, and they consider transformers over modules just like functors over modules in our case.

8 Conclusion

In this paper, we have studied typed two-stage programming languages for generating and manipulating code of modules. We have designed two languages that allow generating modules in different styles, given a precise type-theoretic formulation, and defined a type-preserving translation to

⁸http://www.mlton.org

plain MetaOCaml. We have also implemented program translators based on our translations and shown that despite the complexity of the language and the type system, the module generation with applicative functors and second-class modules has almost identical performance compared with the existing studies based on generative functors and first-class modules. Thus, a programmer can choose the style without worrying about the performance.

One may wonder if realistic programs use deeply nested functor applications as is used in our benchmark program, but there exist such cases. For instance, MirageOS contains many functor applications, and its web service has functor applications of depth up to 10 [14]. The actual MirageOS may contain more indirections than this, hence we can expect that the benefit of module generation would be even greater.

There are many directions for future work. First, we want to make our languages more expressive to cover polymorphism, computational effects, and sharing constraints in modules. Second, FLambda is a fully automatic inlining system that works for functor applications, too. While we believe that human-controlled generation of modules and terms such as our work can outperform the former, unifying these approaches is doable and will be interesting from the practical aspect. Finally, ML-style modules are not the only high-level abstractions for programming languages, and there are many works in the literature that investigated the generation of high-level abstractions such as classes. By comparing our work and others, we want to improve our languages and also help improve other works.

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